# CE 269 Traffic Engineering 

Lecture 18<br>Unsignalized Intersections

## Previously on Traffic Engineering

## Definition

PMF of a Poisson distributed random variable with parameter $\lambda>0$ is

$$
\mathbb{P}(X=x)=p_{X}(x)=\frac{e^{-\lambda} \lambda^{x}}{x!}
$$

## Claim

Suppose $X \sim \operatorname{Pois}(\lambda), \mathbb{E}(X)=V(X)=\lambda$



## Previously on Traffic Engineering

## Definition

Suppose $X \sim \exp (\lambda)$, its probability density function is defined as

$$
f_{X}(x)= \begin{cases}\lambda e^{-\lambda x} & \text { if } x \geq 0 \\ 0 & \text { otherwise }\end{cases}
$$

## Claim

Suppose $X \sim \exp (\lambda)$, its $C D F$ is

$$
F_{X}(x)=1-e^{-\lambda x}
$$

Check if $d F_{X}(x) / d x=f_{X}(x)$

## Previously on Traffic Engineering

PDF and CDF of an exponentially distributed random variable with $\lambda=2$ are shown below.


Claim
If $X \sim \exp (\lambda)$, then $\mathbb{E}(X)=1 / \lambda$ and $V(X)=1 / \lambda^{2}$

## Previously on Traffic Engineering

Let us now analyze a special case where the arrival process is a Poisson process with rate $\lambda$ and the service times are exponential with rate $\mu$. The fraction $\rho=\lambda / \mu$ is called the traffic intensity.


Can you write the global balance equations and find the steady state probabilities?

## Previously on Traffic Engineering

Thus, the steady state probabilities are

$$
\begin{gathered}
p_{0}=1-\rho \\
p_{i}=\rho^{i}(1-\rho)
\end{gathered}
$$

How do we find the expected length of the queue?

$$
\mathbb{E}(L)=\sum_{j=0}^{\infty} j p_{j}=\sum_{j=0}^{\infty} j \rho^{j}(1-\rho)=\frac{\rho}{1-\rho}
$$

We can also find the expected waiting time by defining new random variables and taking their expectations.

$$
\mathbb{E}(T)=\frac{1}{\mu-\lambda}
$$

How are $\mathbb{E}(L)$ and $\mathbb{E}(T)$ related? $\mathbb{E}(L)=\lambda \mathbb{E}(T)$. This is called Little's law and holds in more general settings.

## Lecture Outline

11 Gap Acceptance
2 Interaction of Two Streams
3. Capacity and Level of Service

## Lecture Outline

## Gap Acceptance

## Gap Acceptance

## Introduction

Unlike signalized intersections which give define the right-of-way, drivers in navigating an unsignalized intersection must look for safe opportunities or gaps to enter the conflict area.

Additionally, traffic streams could have a hierarchy. Vehicles which have lower priority have to yeild to the ones with a higher priority (imagine a major arterial intersecting a minor road).

Typically, intersections on the minor street may have stop signs. This is called a two-way stop controlled (TWSC) junction. Alternately, if stops signs are present on all approaches, we call it an all-way stop controlled (AWSC) junction.

Of course, in this part of the world, all of these are just roads :)

> https://youtu.be/UIthEM6pDqw

## Gap Acceptance

## Introduction

Usual questions of interest include:

- What gaps/headways (measured in time) are acceptable to drivers?
- What is the frequency with which these gaps occur?
- How many drivers will be able to depart within a given gap duration?
- What is the capacity of an unsignalized intersection?
- Can we quantify the performance of an unsignalized intersection using level of service measures?


## Gap Acceptance

The minimum gap that traffic in a minor stream accepts is called the critical gap and is denoted by $t_{c}$.

Note, we will use gap and headways interchangeably for this part of the course. So these are time elapsed between arrivals of the front ends or rear ends of consecutive vehicles at a point sensor.

When the gap in the major stream is longer than the critical gap, minor stream vehicles (if queued) enter at headways known as follow-up time $t_{f}$.

## Gap Acceptance

In reality, drivers need to be consistent or homogeneous. That is they can accept one gap at one junction and reject it at another.

Also, different drivers have different thresholds for gap acceptance. Hence, distributions of these parameters are typically used.

Estimating $t_{c}$ and $t_{f}$ is critical to understanding the performance of the junction. These may depend on several factors such as the speed of major stream traffic and difficulty of maneuvers (going straight vs. turning).

While measuring follow-up time is straightforward (why?), measuring critical gap is difficult since we can only measure the largest gap rejected and the accepted gap.

Two approaches are commonly used-regression and maximum likelihood.

## Gap Acceptance

## Regression Model

Using real-world data, one can plot the gap size vs. number of drivers who accept that gap.


Data Used to Evaluate Critical Gaps and Move-Up Times (Brilon and Grossmann 1991).


The slope is $t_{f}$ and if the x -intercept is $t_{0}$, then the critical gap is (Why?)

$$
t_{c}=t_{0}+t_{f} / 2
$$

## Gap Acceptance

In the maximum likelihood approach, the critical gap is assumed to be lognormal and we assume that for each user the following data is available:
$>a_{i}$ logarithm of accepted gap of driver $i$ ( $\infty$ if no gap was accepted)
$\downarrow r_{i}$ logarithm of the largest gap rejected by driver $i$ ( 0 if no gap was rejected)

The goal is to find estimates of the parameters of the log-normal distribution $\mu$ and $\sigma^{2}$.

Is the log-normal distribution a good choice? Isn't it common to assume that the gaps are exponentially distributed?

## Gap Acceptance

## Maximum Likelihood Approach

Suppose $f$ and $F$ represent the pdf and cumulative distribution function of the associated normal distribution, write the log-likelihood function and maximize it.

$$
\begin{aligned}
\mathcal{L} \mathcal{L} & =\ln \left(\prod_{i=1}^{n} F\left(a_{i}\right)-F\left(r_{i}\right)\right) \\
& =\sum_{i=1}^{n} \ln \left(F\left(a_{i}\right)-F\left(r_{i}\right)\right)
\end{aligned}
$$

Using $\frac{\partial F(x)}{\partial \mu}=-f(x)$ and $\frac{\partial F(x)}{\partial \sigma^{2}}=-\frac{x-\mu}{2 \sigma^{2}} f(x)$, set the gradient of $\mathcal{L} \mathcal{L}$ to zero and solve for $\mu$ and $\sigma$.

The expected critical gap is

$$
\mathbb{E}\left(t_{c}\right)=\exp \left(\mu+0.5 \sigma^{2}\right)
$$

## Gap Acceptance

Headways on the major street are usually assumed exponentially distributed. Suppose there were 456 vehicles passed through a junction in 1 h , what is the expected number of headways greater than 5 s ?

If instead there were 1440 vehicles, what is expected number of headways less than 0.1 s ?

The exponential distribution does a good job for low traffic but can overestimate short headways. Hence, two other distributions are commonly used-shifted exponential and bunched exponential.

## Gap Acceptance

## Headway Distributions

The shifted or displaced exponential guarantees a minimum headway $t_{m}$ by defining the complementary CDF in the following way:

$$
\mathbb{P}(h>x)= \begin{cases}\exp \left(-\mu\left(x-t_{m}\right)\right), & \text { if } x \geq t_{m} \\ 1, & \text { otherwise }\end{cases}
$$

What is the mean of this random variable?

## Gap Acceptance

## Headway Distributions

Often, traffic appears in platoons. To model such streams, the bunched exponential distribution could be used

$$
\mathbb{P}(h>x)= \begin{cases}\alpha \exp \left(-\mu\left(x-t_{m}\right)\right), & \text { if } x \geq t_{m} \\ 1, & \text { otherwise }\end{cases}
$$

For this distribution, the minimum headway $t_{m}$ is maintained with probability $1-\alpha$ and with $\alpha$, the distribution takes the form of a shifted exponential distribution.

This results in platoons that are $t_{m}$ apart with exponential gaps between the bunches. What is the probability that there are $n$ vehicles in a platoon?

These are geometrically distribution with pmf

$$
\mathbb{P}(N=n)=(1-\alpha)^{n-1} \alpha
$$

What is the average number of vehicles in the platoon?

## Lecture Outline

## Interaction of Two Streams

## Interaction of Two Streams

## Introduction

Consider a simple intersection with two one-way streams

Suppose the average volume in the priority and non-priority streams be $q_{p}$ and $q_{n}$, respectively.

Let $f(t)$ be the pdf of gaps in the major stream and let $g(t)$ be the number of vehicles from the minor stream that can enter the major stream with a gap of $t$.


The capacity of the major stream traffic can be written as

$$
q_{m}=q_{p} \int_{0}^{\infty} g(t) f(t) d t
$$

## Interaction of Two Streams

Capacity

The function $f(t)$ can either take the form of exponential, shifted or bunched exponential. For estimating $g(t)$, we could use the knowledge of $t_{c}$ and $t_{f}$ like the regression plots described earlier.

$$
g(t)= \begin{cases}0 & \text { if } t<t_{0} \\ \frac{t-t_{0}}{t_{f}} & \text { otherwise }\end{cases}
$$

where $t_{0}=t_{c}-\frac{t_{f}}{2}$

## Interaction of Two Streams

Capacity

We could also use the expected value of $g$ treating the number of vehicles entering a gap of duration $t$ as a random variable.

$$
g(t)=\sum_{n=1}^{\infty} n p_{n}(t)
$$

where $p_{n}(t)$ is the probability that $n$ minor stream vehicles enter a gap of duration $t$.

For the step-function discussed in the regression model, $p$ can be written as

$$
p_{n}(t)= \begin{cases}1 & \text { if } t_{c}+(n-1) t_{f} \leq t<t_{c}+n t_{f} \\ 0 & \text { otherwise }\end{cases}
$$

## Interaction of Two Streams

## Capacity

These two approaches yield the following formulate for capacity of the minor stream assuming the major approach headways are exponential.

$$
\begin{gathered}
q_{m}=q_{p} \frac{\exp \left(-q_{p} t_{c}\right)}{1-\exp \left(-q_{p} t_{f}\right)} \\
q_{m}=\frac{1}{t_{f}} \exp \left(-q_{p} t_{c}\right)
\end{gathered}
$$




Other equations in the above figure relax the assumptions of constant $t_{f}$ and $t_{c}$ and exponential headways.

## Interaction of Two Streams

## Queuing Model

Another line of approach is to use a queuing model to estimate capacity and delays.

For example the Pollaczek-Khinchine formula can be used for the M/G/1 queue with Poisson arrivals and general service distribution (gap acceptance process).

$$
L=\rho+\frac{\rho^{2}+\lambda^{2} \operatorname{Var}(S)}{2(1-\rho)}
$$

$\operatorname{Var}(S)$ is the variance of the service time $S$. Little's law can be used to get the waiting time or the delay $W=L / \lambda$. Capacity is the inverse of the service time.

## Lecture Outline

## Capacity and Level of Service

## Capacity and Level of Service

## Indo-HCM

The Indo-HCM provides a procedure for estimating the capacity of minor approaches and LoS for unsignalized intersections with 3 or 4 approaches.

The approaches used for data collection either have 2 or 4 lanes.
Unlike the earlier example, an unsignalized intersection can have several minor movements which squeeze through gaps of major movements.

## Capacity and Level of Service

## Movement Priority

Table 8.2: Priority Ranks for Different Movements


| Priority Rank | Movement |
| :---: | :---: |
|  | Movement 2 |
|  |  |
|  | Movement 3 |
|  | 2 |

## Capacity and Level of Service

## Calculating Conflicting Volume

As usual, we convert flows into PCUs. The conflicting volume calculations depend on the priority of the movements.


For two-lane major streets,

$$
\begin{aligned}
& V_{c, 1}=1.5 v_{5}+v_{6}+v_{7} \\
& v_{c, 4}=1.5 v_{2}+v_{3}+v_{10}
\end{aligned}
$$

## Capacity and Level of Service

## Calculating Conflicting Volume

| Rank | Movement | Conflicting Flow (per hour) |  |
| :---: | :---: | :---: | :---: |
|  |  | Two lane major street | Four lane major street |
| 1 | $v_{2}$ |  |  |
|  | $v_{3}$ | - | - |
|  | $v_{5}$ |  | $v_{5}$ |
|  | $v_{6}$ | $v_{1}$ | $1.5 v_{5}+v_{6}+v_{7}$ |
| 2 | $v_{4}$ | $1.5 v_{2}+v_{3}+v_{10}$ | $v_{2}$ |
|  | $v_{7}$ | $v_{4}+v_{5}+v_{1}+v_{2}$ | $v_{4}+v_{5}+v_{1}+0.5 v_{2}$ |
|  | $v_{10}$ | $v_{1}+v_{2}+v_{4}+v_{5}$ | $v_{1}+v_{2}+v_{4}+0.5 v_{5}$ |
| 4 | $v_{8}$ | $v_{11}$ | $v_{4}+v_{5}+v_{1}+v_{2}+v_{3}+v_{10}$ |

## Capacity and Level of Service

## Base Critical Gap

|  | Vehicle Type |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Movement | Two- <br> Wheeler | Auto <br> rickshaw | Standard <br> Car | Big <br> Car | LCV | Bus | TAT/ <br> MAT |

Four lane divided intersection

| Right turning from major to minor street | 2.5 | 2.7 | 2.7 | 2.9 | 3.3 | 3.6 | 3.8 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Right turning from minor to major street | 3.5 | 3.7 | 3.8 | 4.1 | 4.9 | 5.5 | 5.7 |
| Through traffic on minor | 5.8 | 5.9 | 6.8 | 7.6 | 7.9 | 7.9 | 8.6 |

Two lane undivided intersection

| Right turning from major to minor street | 2.9 | 3.2 | 3.5 | 3.9 | - | - | - |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Right turning from minor to major street | 3.2 | 3.5 | 3.8 | - | - | - | - |
| Through traffic on minor | 3.5 | 4.2 | 4.9 | - | - | - | - |

## Capacity and Level of Service

## Adjusted Critical Gap

These critical gaps are adjusted based on the vehicle composition (particularly, taking large vehicles into account).

$$
t_{c, x}=t_{c, \text { base }}+f_{L V} \ln \left(P_{L V}\right)
$$

| Movement | Vehicle Type |  |  |  |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Two- <br> Wheeler | Auto <br> rickshaw | Standard <br> Car | Big <br> Car | LCV | Bus | TAT / <br> MAT |  |
| Four lane divided intersection |  |  |  |  |  |  |  |  |
| Right turning from major to minor street | 0.25 | 0.27 | 0.46 | 0.55 | 0.48 | 0.53 | 0.74 |  |
| Right turning from minor to major street | 0.61 | 0.64 | 0.88 | 0.93 | 0.86 | 0.84 | 0.85 |  |
| Through traffic on minor | 0.34 | 0.38 | 0.58 | 0.45 | 0.44 | 0.44 | 0.27 |  |
|  |  |  |  |  |  |  |  |  |
| Two-lane undivided intersection |  |  |  |  |  |  |  |  |
| Right turning from major to minor street | 0.38 | 0.63 | 0.78 | 1.02 | - | - | - |  |
| Right turning from minor to major street | 0.07 | 0.07 | 0.01 | - | - | - | - |  |
| Through Traffic on minor | 0.07 | 0.07 | 0.07 | - | - | - | - |  |

## Capacity and Level of Service

## Capacity Calculation

Where,
$\mathrm{C}_{\mathrm{x}}=$ capacity of movement ' x ' (in $P C U / h$ ),
$\mathrm{V}_{\mathrm{c}, \mathrm{x}}=$ conflicting flow rate corresponding to movement $\mathrm{x}(P C U / h)$,
$\mathrm{t}_{\mathrm{c}, \mathrm{x}}=$ critical gap of standard passenger cars for movement ' x ' $(s)$,
$\mathrm{t}_{\mathrm{f}, \mathrm{x}}=$ follow-up time for movement ' x ' $(s)$, and
'a' and 'b' = adjustment factors based on intersection geometry.

| Major Street <br> Configuration | Adjustment | Subject Movement |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Right Turn from Major | Right Turn from Minor | Through on Minor |  |
| Four-lane | a | 0.80 | 1.00 | 0.90 |  |
| divided | b | 1.30 | 2.16 | 5.04 |  |
| Two-lane | a | 0.70 | 0.80 | 1.10 |  |
| undivided | b | -0.11 | 0.72 | 0.72 |  |

## Capacity and Level of Service

## Level of Service

| Level of Service | Volume-Capacity ratio |
| :---: | :---: |
| A | $<0.15$ |
| B | $0.16-0.35$ |
| C | $0.36-0.55$ |
| D | $0.56-0.80$ |
| E | $0.81-1.00$ |
| F | $>1.00$ |

## Additional Reading

Troutbeck, R.J., \& Brilon, W. (1997). Unsignalized Intersection Theory. FHWA Report.

## Your Moment of Zen

HIGHWAY ENGINEER PRANKS:
THE INESCAPABLE CLOVERLEAF:


THE ROTARY SUPERCOLLIDER:


