

Seismic fragility of nuclear installations

Prabir C. Basu

*Atomic Energy Regulatory Board
Mumbai, India*



ISO 9001:2000
Organisation



25 Years of
Safety Regulation
1983 - 2008

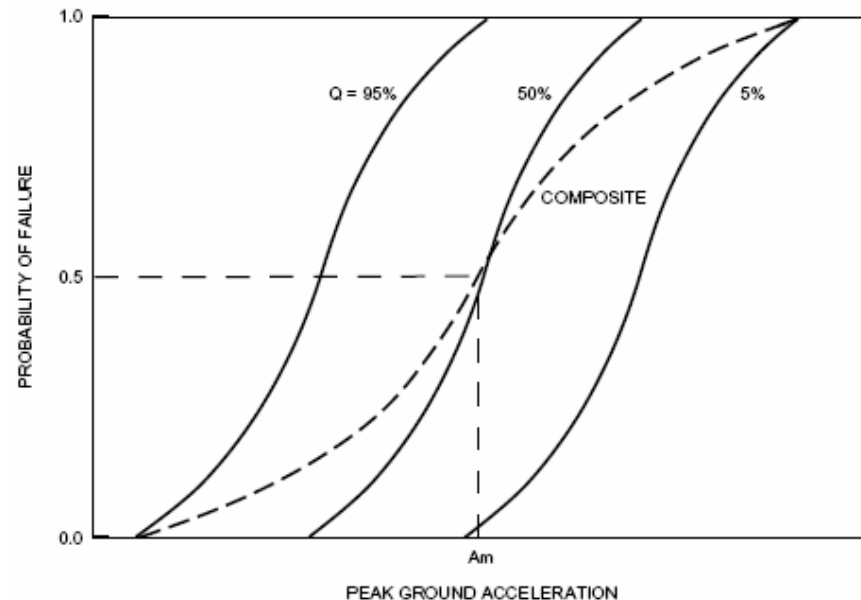
Seismic fragility of nuclear installations

- Introduction
- Seismic fragility of NPP
- Seismic fragility of components/elements by analysis
- Seismic fragility components/elements by test
- Seismic fragility components/elements by EBM
- Concluding remarks

Introduction

- Seismic fragility is the conditional probability of failure for a given value of seismic input parameter e.g.. peak ground acceleration (PGA).
- Many sources of variability in the estimation of this ground acceleration capacity
 - Epistemic randomness
 - Aleatory uncertainties

$$P_f = \Phi \left(\frac{\ln \left(\frac{a}{A_m} \right) + \beta_U \Phi^{-1}(Q)}{\beta_R} \right)$$



Introduction

- Demand: PGA 'a'
- Capacity: ground acceleration capacity, A:

$$A = A_m \cdot \varepsilon_R \cdot \varepsilon_U$$

- Three parameters
 - Median ground acceleration capacity, A_m , and
 - Two random variables ε_R and ε_U .

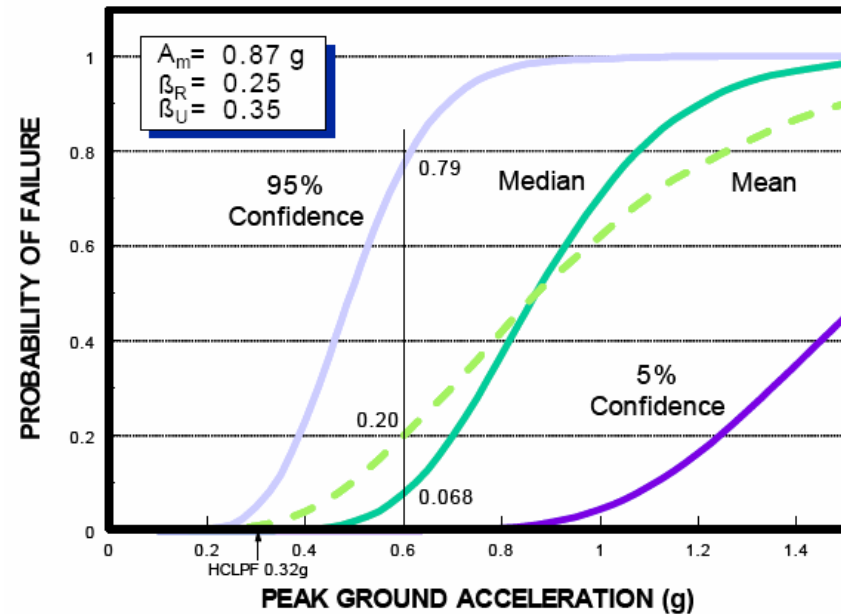
ε_R and ε_U are log-normally distributed random variables, with a unit median and logarithmic standard deviation β_R (epistemic or inherent randomness about the median), β_U (aleatory uncertainty in estimating the A_m)

Introduction

- Further, $A_m = A_{RBGM} * F'$, $F' = F_1' * F_2' * F_3'$
 - F_1 : factor representing ratio of capacity and demand
 - F_2 : factor representing conservatism in assessing capacity
 - F_3 : factor representing conservatism in assessing demand (structural response factor)
- Major part of fragility analysis involves with determination of
 - Median values of F_1 , F_2 and F_3 , and
 - Corresponding $\beta_{u(.)}$ and $\beta_{R(.)}$

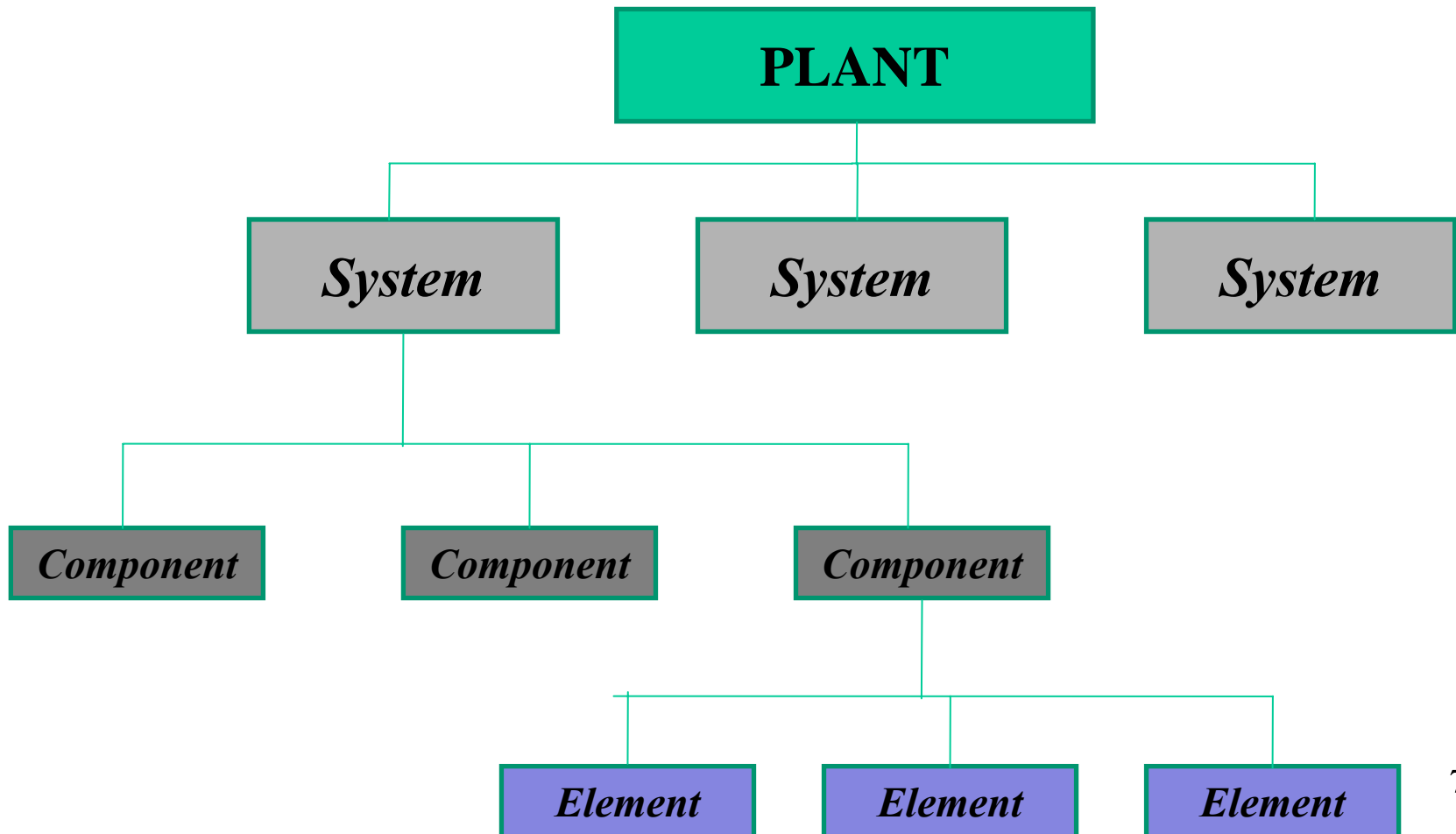
Introduction

- High confidence low probability failure (HCLPF) capacity of an NPP or its components is the PGA corresponding to 5% P_f with 95% confidence level. It could be derived from the seismic fragility descriptions or deterministically.
- Fragility from HCLPF value:
$$A_m = A_{HCLPF} * e^{1.645[\beta_R + \beta_u]}$$



Seismic fragility of NPP

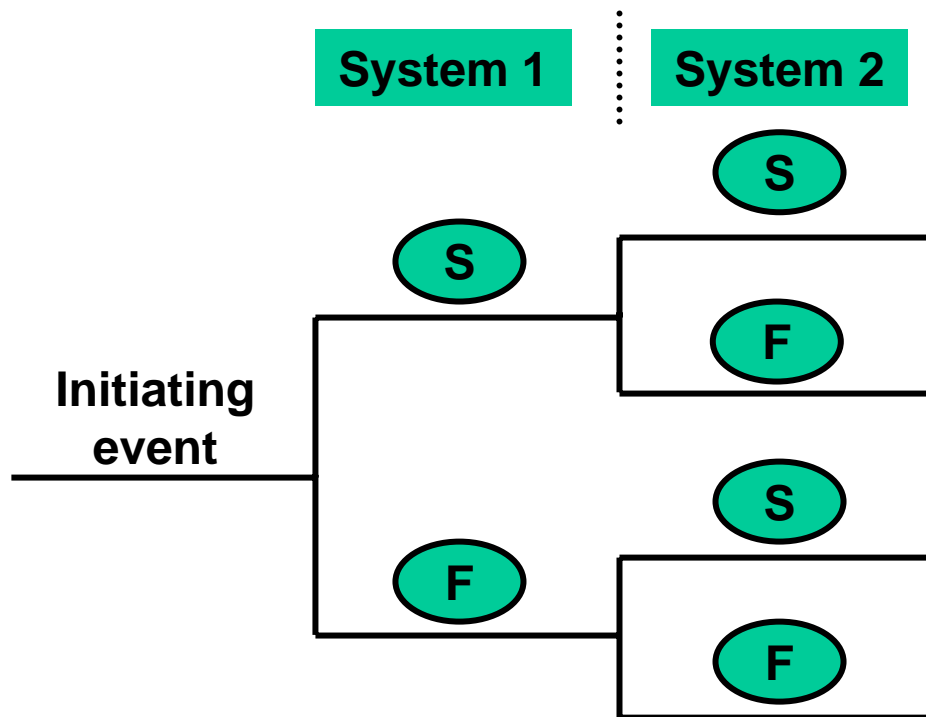
- System fragility of a plant derived from the fragility of it's constituents parts



Seismic fragility of NPP

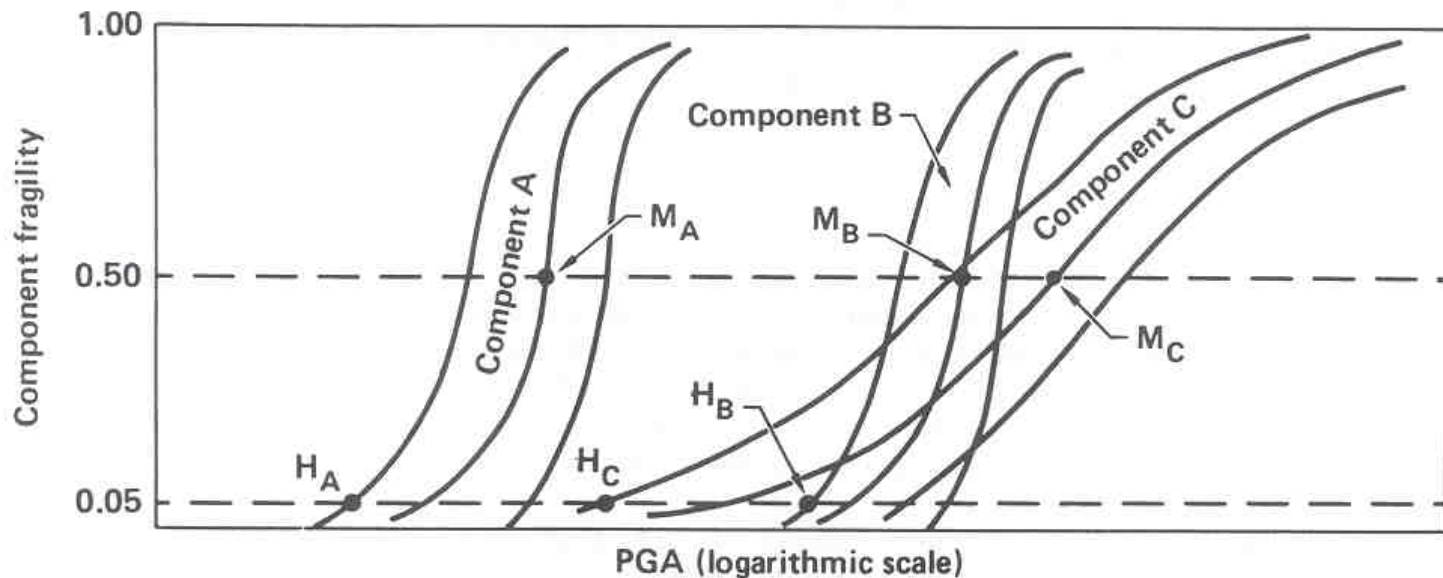
Plant fragility

- **Plant** fragility is determined from either HCLPF value or fragility of **systems** following failure path or success path deriving from the plant event tree.



Seismic fragility of NPP

Plant fragility



Situation – 1: Core melt occurs when any one of the three systems fail

Overall median capacity = median capacity of A, the weakest link.

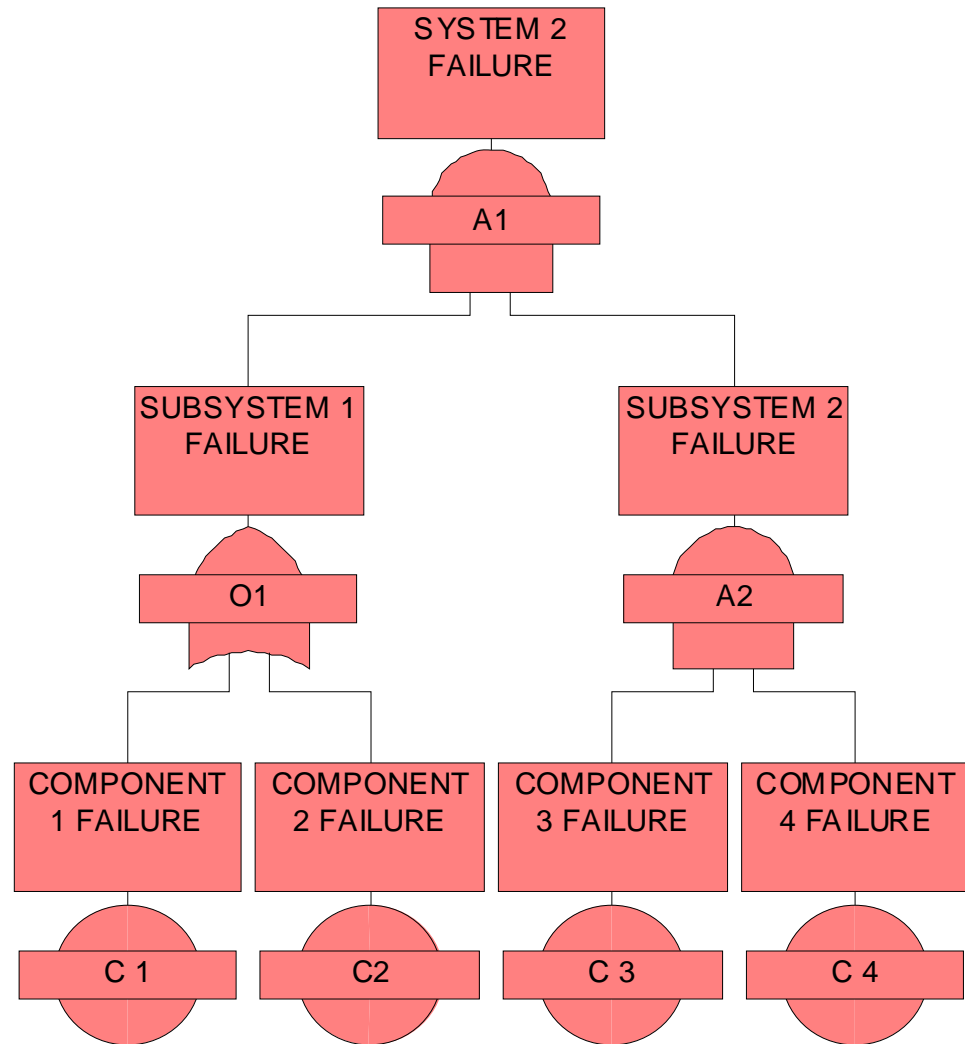
Situation – 2: Core melt occurs only when all the three systems fail

Overall median capacity = median capacity of C, system of highest capacity.

Seismic fragility of NPP

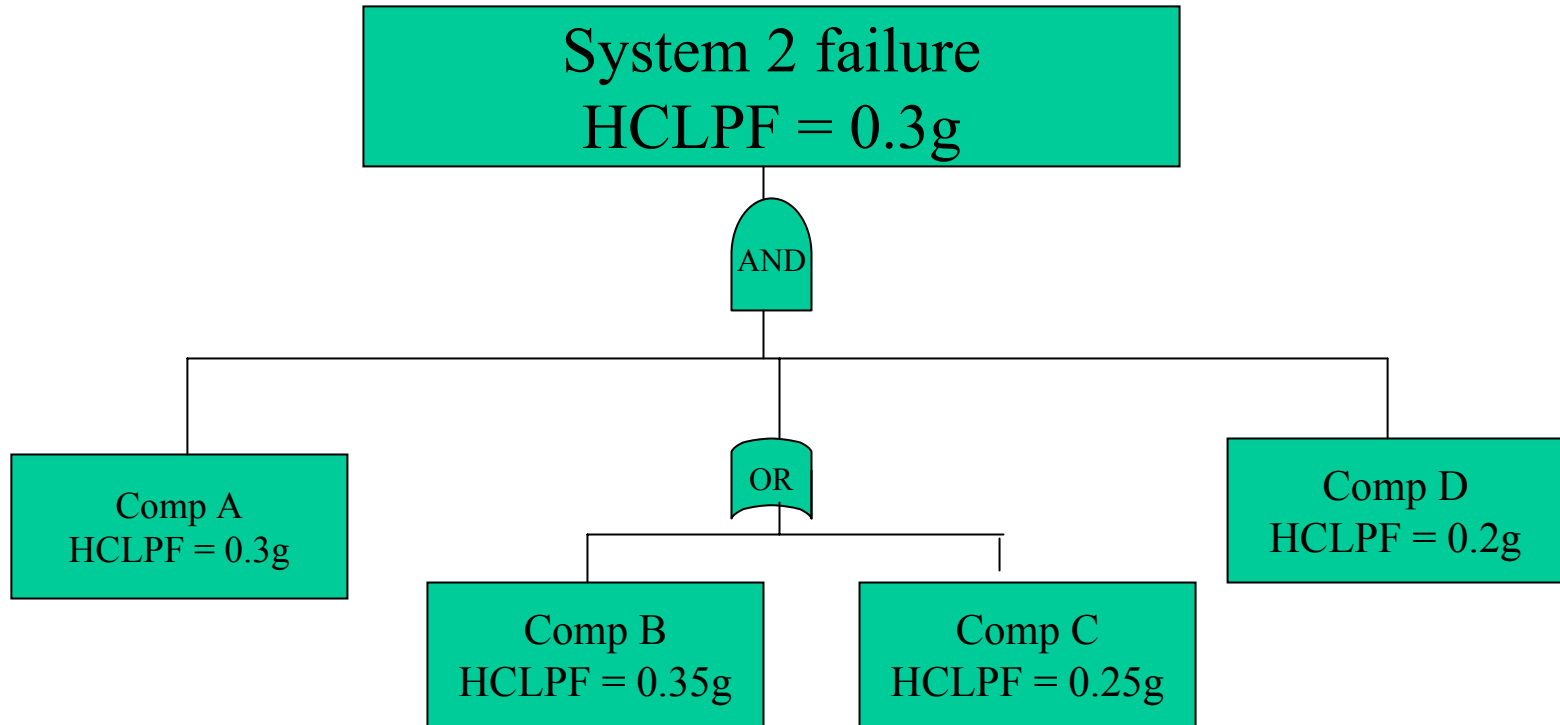
System fragility

- **System** fragility is determined from either HCLPF value or fragility of **components** following fault tree diagram of the system



Seismic fragility of NPP

System fragility



The HCLPF of system is given by Boolean expression

$$SF = A*(B+C)*D$$

$$= \text{Max} (A, \min(B, C), D) = \text{Max} (0.3g, \min(0.35g, 0.25g), 0.2g)$$

$$= \text{Max}(0.3g, 0.25g, 0.2g) = 0.3g$$

Seismic fragility of NPP

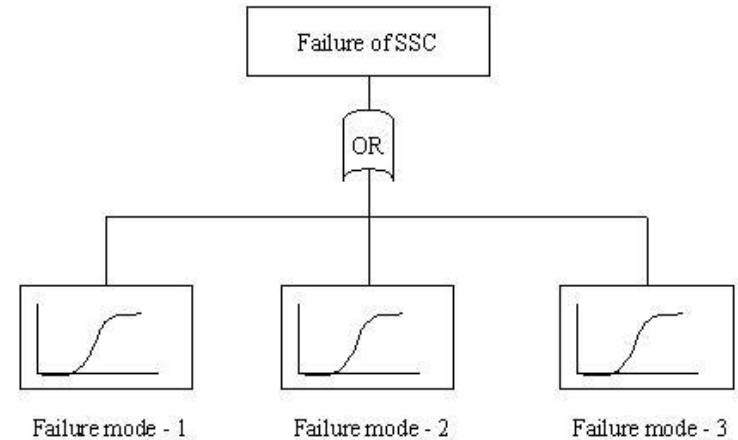
Component fragility

- **Component** fragility is determined directly, or from either HCLPF value or fragility of **elements**.
- Two approaches exist when determined from element fragilities
 - Minimum of element HCLPF or fragility
 - Weakest link method
- Methods of determining fragility parameters
 - Direct method: **analysis and testing**
 - Indirect method: **experience based method (EBM) adopting plant walk down.**

Seismic fragility of NPP

Component fragility: weakest link model

- A component is considered to be failed if any element fails.
- For real component, this is conservative.
- An element of a component will not fail if it survives against all failure modes
- **Probability of failure, P_F**



$$P_s = \prod_{i=1}^n (1 - P_{fi}), i=1, n$$

$$P_F = 1 - P_s = 1 - \prod_{i=1}^n (1 - P_{fi})$$

P_{fi} is the probability of failure of the component against i^{th} failure mode and n is the total number of failure modes

Seismic fragility of NPP

Approaches for determining component fragility



NO.	CATEGORY	METHOD	QUALIFICATION
1.	Reactor core assembly		Analysis
2.	Reactor coolant system vessels (RPV, Pressurizer etc)		Analysis
3.	Reactor coolant pump	17.	Small valves
4.	Primary coolant piping	18.	Hydraulic and air operated valves
5.	Large diameter piping	19.	Emergency AC power (Diesel generators)
6.	Intermediate piping	20.	Emergency DC power (Batteries on racks)
7.	Large vertical storage vessels with formed heads	21.	Switchgear
8.	Large vertical storage tank – flat bottom	22.	Dry transformers
9.	Large horizontal vessels and heat exchangers	23.	Control panels and racks (Floor mounted)
10.	Small-medium vessels and heat exchangers	24.	Instrumentation panels
11.	Buried pipe	25.	Auxiliary relay cabinets
12.	Large vertical centrifugal pumps with motor drive	26.	Local instruments
13.	Large vertical pumps	27.	Motor control centers
14.	Motor driven compressors	28.	Invertors
15.	LMOV'S (Large motor operated valves)	29.	Cable trays
16.	Large relief and check valves	30.	Breaker panels
17.	Small valves	31.	Air handling units
18.	Hydraulic and air operated valves	32.	Ductwork
		33.	Control rods and drives
		34.	Ceramic insulators
		35.	Dampers, diffusers, extractors
		36.	Battery chargers
		37.	Civil engineering structures

Seismic fragility of NPP

Element fragility

- **Element** fragility is determined for each failure modes
- Methods of determining fragility parameters
 - Direct method: **analysis and testing**
 - Indirect method: **experience based method based on plant walk down.**

Seismic fragility of NPP

Element fragility

- **Element** fragility is determined for each failure modes
- If failure modes are independent

$$P_F = 1 - \prod_{i=1}^n (1 - P_{Fi})$$

- If failure modes are dependent (*A component will fail at i^{th} mode if it survives all the previous modes*)

$$P_F = 1 - (1 - P_{F1}) \prod_{i=2}^n \left[1 - \left\{ \prod_{j=1}^{i-1} (1 - P_{Fj}) \right\} P_{Fi} \right]$$

Seismic fragility of component/element by analysis

$$F_1 = F_S = \frac{S - R_N}{R_T - R_N}$$

*S = Seismic capacity; R_T = Total demand;
R_N = Concurrent non-seismic demand*

$$F_2 = F_\mu$$

$$F_3 = F_R$$

Seismic fragility of component/element by analysis

$$F_{\mu} = \left(\sqrt{2\mu - 1} \right) \in$$

*Amplified region of
response spectrum
(2 to 8 Hz)*

$$F_{\mu} = \mu^{0.13} \in$$

Rigid equipment

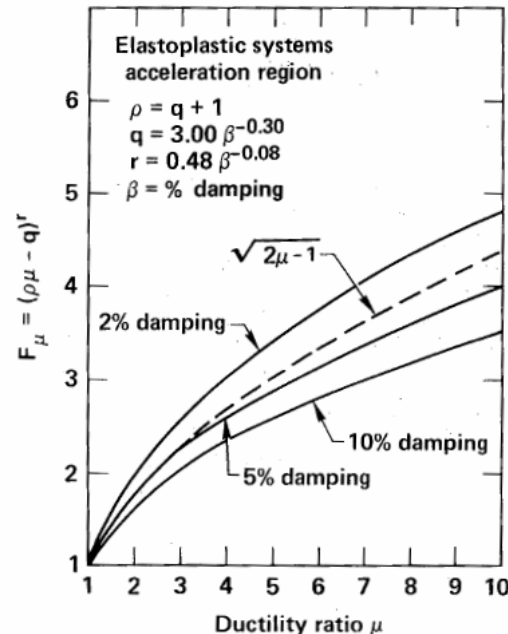
$$F_{\mu} = (\rho\mu - q)^r$$

Where

$$\rho = q + 1; q = 3.00 \delta^{-0.30}$$

$$r = 0.48 \delta^{-0.08}$$

and δ is the % of damping



Seismic fragility of component/element by analysis

$$\bar{F}_R = \bar{F}_{RS} = \bar{F}_{SA} * \bar{F}_{SS} * \bar{F}_{\delta} * \bar{F}_M * \bar{F}_{MC} * \bar{F}_{EC} * \bar{F}_{SD}$$

Civil

- F_{SA} :** Factor for ground motion and associated response spectra for a given PGA.
- F_{SS} :** Soil structure interaction factor.
- F_{δ} :** Factor energy dissipation i.e. damping.
- F_M :** Structural modeling factor.
- F_{MC} :** Factor for combination of modes and earthquake analysis results.
- F_{EC} :** Factor for combination of earthquake components
- F_{SD} :** Factor to reflect the reduction of seismic input with depth

Seismic fragility of component/element by analysis

$$\bar{F}_R = \bar{F}_{RE} * \bar{F}_{RS}$$

Mechanical

$$\bar{F}_{RE} = \bar{F}_{QM} * \bar{F}_{SA} * \bar{F}_M * \bar{F}_\delta * \bar{F}_{MC} * \bar{F}_{EC}$$

$$\bar{F}_{RS} = \bar{F}_{SA} * \bar{F}_\delta * \bar{F}_M * \bar{F}_{SS}$$

F_{SA} : Factor associated with the floor spectra used for analysis

F_{QM} : Factor used with qualification method

Seismic fragility of component/element by analysis

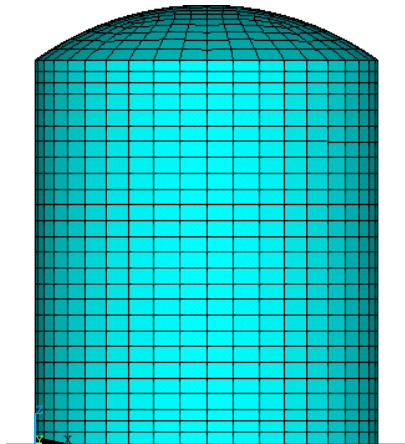
- Values of median response factors and logarithmic standard deviations are taken from literature.

$$\bar{F}_{(R)} = \prod \bar{F}_{(.)}$$
$$\beta_{\#(R)} = \left[\sum \beta_{\#(.)}^2 \right]^{1/2}$$

- Values of
 - median response factors F_1 , and F_2 are generally calculated or may be taken from literature; $F_{(.)}$ from literature for calculating F_3 , and
 - Logarithmic standard deviations $\beta_{\#(.)}$ are taken from literature; # = R or U

Seismic fragility of component/element by analysis

<i>Loc. No</i>	<i>Description</i>	<i>Induced moment (kN.m)</i>	<i>Mom. capacity (kN.m)</i>	$F_S = F_I$
1	<i>Raft wall junction</i>	8.71×10^6	16.90×10^6	1.94
2	<i>Top of thickened portion</i>	7.81×10^6	16.10×10^6	2.06
3	<i>Containment wall EL 100m</i>	6.13×10^6	12.29×10^6	2.01

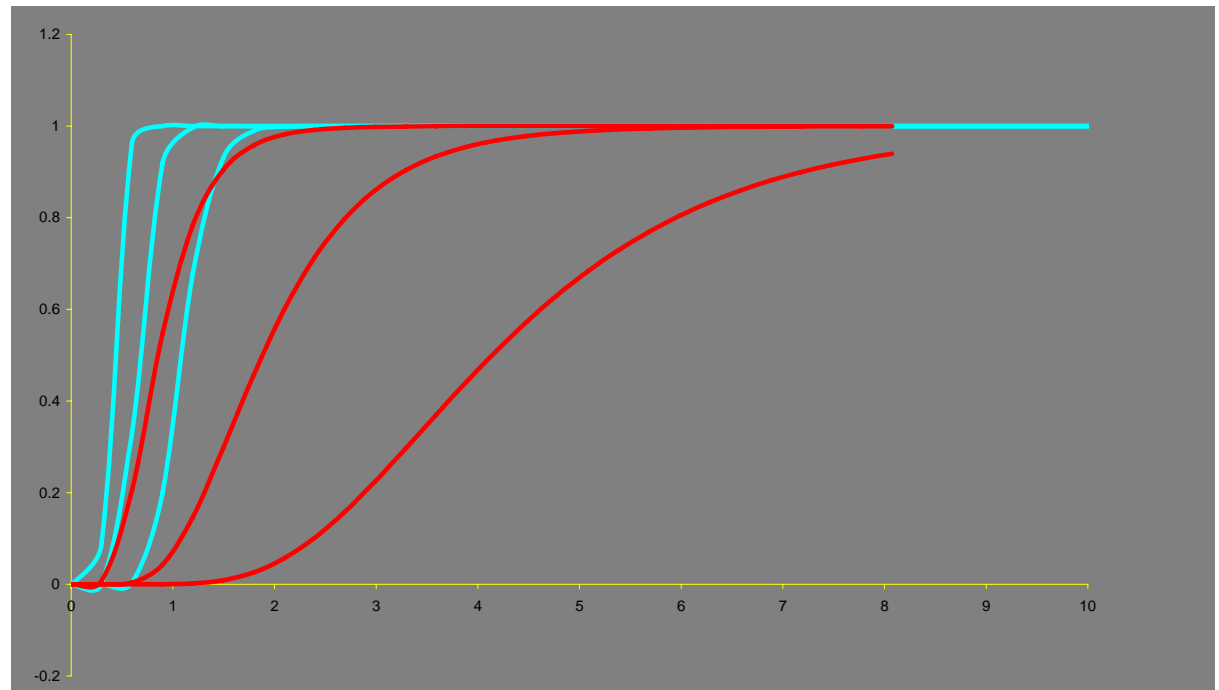


$$A_{RBGM} = 0.2g$$

<i>Factors</i>	<i>Median value</i>
F_{SA}	1.25
F_{δ}	1.25
F_M	1.0
F_{MC}	1.0
F_E	1.0
F_{SD}	1.0
F_{SS}	1.3
$F_3 = F_{RS}$	2.03

Seismic fragility of component/element by analysis

<i>PHEC piping</i>				
	A_m	β_R	β_U	<i>HCLPF</i>
Piping	1.88g	0.43	0.48	0.42g
Supp	0.66g	0.22	0.30	0.26g



Seismic fragility of component/element by test

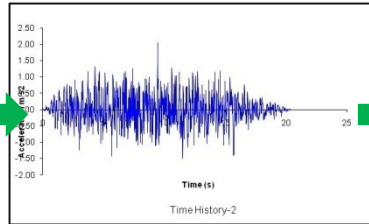
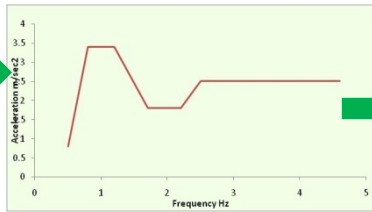
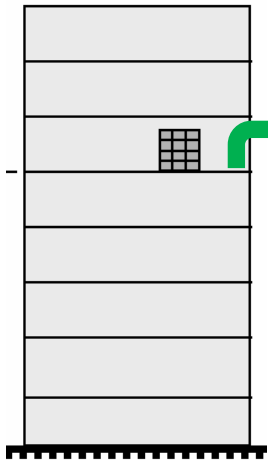
- Test Response Spectrum

- Is the motion experienced by the component being tested.
- It is measured using the instrumentation available in the shake table
- The response spectrum corresponding to this motion is called the Test response spectrum (TRS).

- Required Response Spectrum

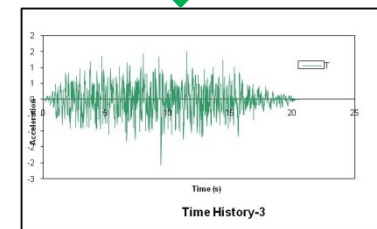
- Specifies requirements to be met.
- RRS could be specified by the FRS at the location where the component, when a site specific test is being carried out or a general spectrum like the performance level spectrum provided in IEEE 693 for a generic test.

Seismic fragility of component/element by test

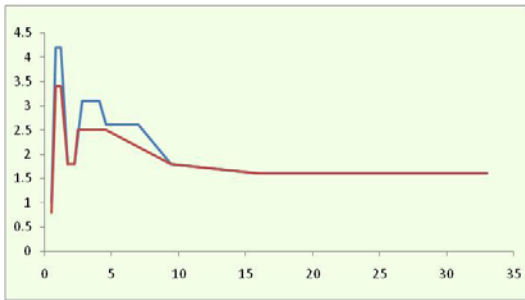


RRS

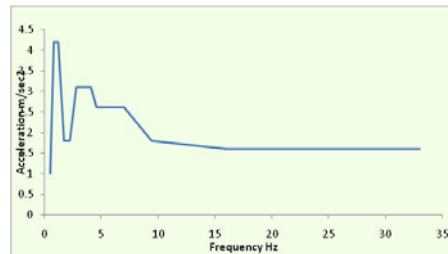
Input to actuators



Acceleration measured on the table



TRS envelops RRS



TRS

Seismic fragility of component/element by test

$$F' = F_1' * F_2' * F_3'$$

F₁ is the factor representing ratio of capacity and demand

$$F_1 = \alpha \cdot \tau$$

F₂ is the factor representing the conservatism that is judged to exist in the TRS based on the testing methods used

F₃ represents the structure response factor

Seismic fragility of component/element by test

$$\tau = \frac{TRS}{RRS}$$

$$\alpha = \frac{C_T C_I}{C_C D_R}$$

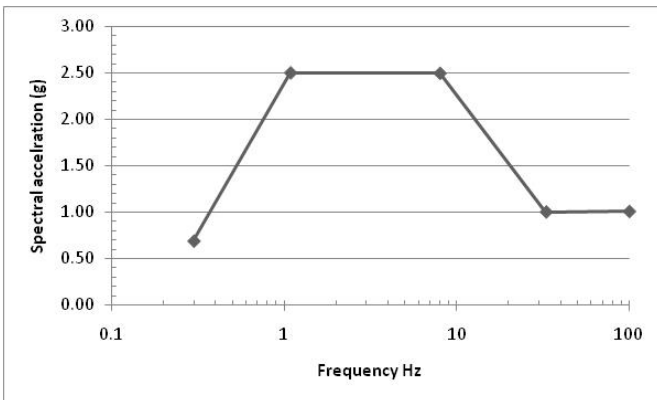
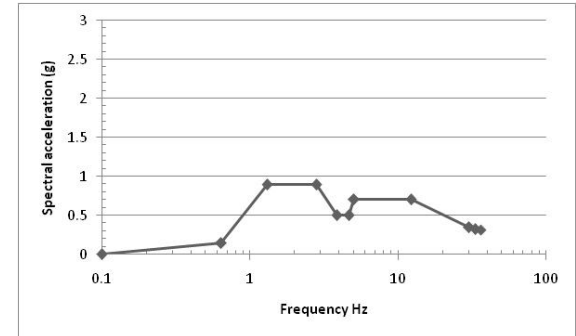
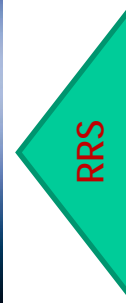
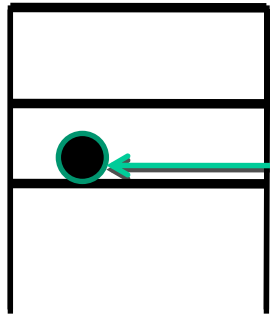
Cabinet
based test

$$\alpha = \frac{C_T C_I}{C_C \frac{AF_C}{F_{MS}} D_R}$$

Device
based test

C_C	Clipping factor for narrow banded demand
C_T	Clipping factor for narrow banded TRS
C_I	Capacity increase factor
D_R	Demand reduction factor
AF_C	Cabinet amplification factor
F_{MS}	Multi axis to Single axis conversion factor

Seismic fragility of component/element by test



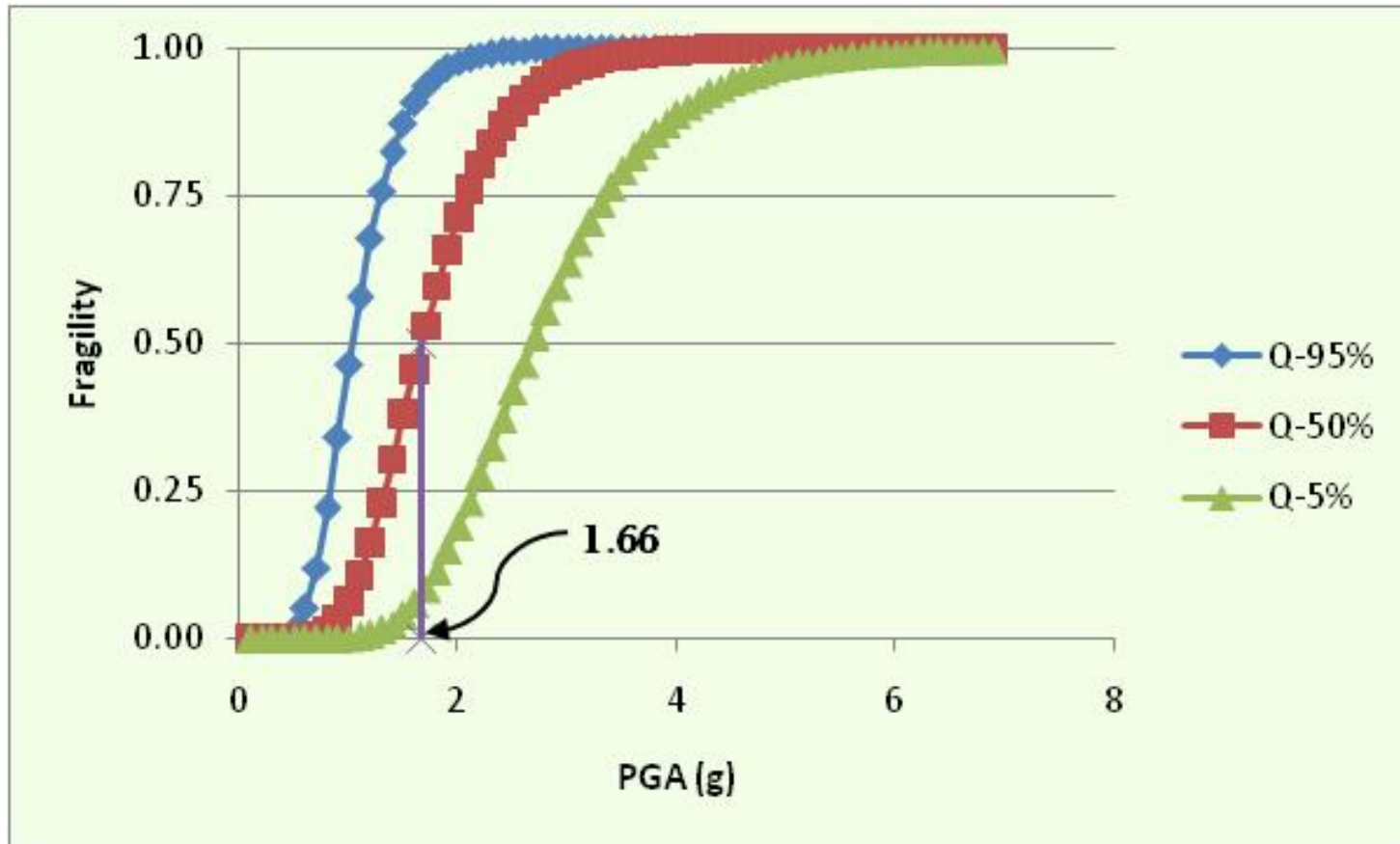
- MCC located in first floor, demand given by FRS derived from 3 time histories. PGA of site = 0.2g
- Natural frequency of MCC is approximately 10 Hz.
- Similar MCC qualified by shake table testing for IEEE 693 performance level high spectrum anchored to a PGA of 1.0g .

Seismic fragility of component/element by test

<i>Factor</i>	<i>Peak Value</i>
TRS	2.5g
RRS	0.705g
α	3.55
C_1	1.1
C_C	1.0
C_T	1.0
D_R	1.0
τ	1.1

<i>Factor</i>	<i>Median</i>	β_R	β_U
$F_1 = \alpha \cdot \tau$	3.905	0	0
F_2	1.40	0.22	0.09
$F_3 = F_{RS}$	1.67	0.24	0.27
F	9.13	0.33	0.29
A_m	1.66g	0.33	0.29

Seismic fragility of component/element by test



Seismic fragility of component/element by EBM

$$F' = F_1' * F_2' * F_3'$$

F₁ is the factor representing ratio of capacity and demand

$$F_1 = \alpha \cdot \tau$$

α is generally taken as unity

F₂ is the factor representing the ratio of the ground motion level at which the component ceases to perform its intended function to the experience data capacity spectrum (Reference spectrum or GERS)

F₃ represents the structure response factor

Seismic fragility of component/element by EBM

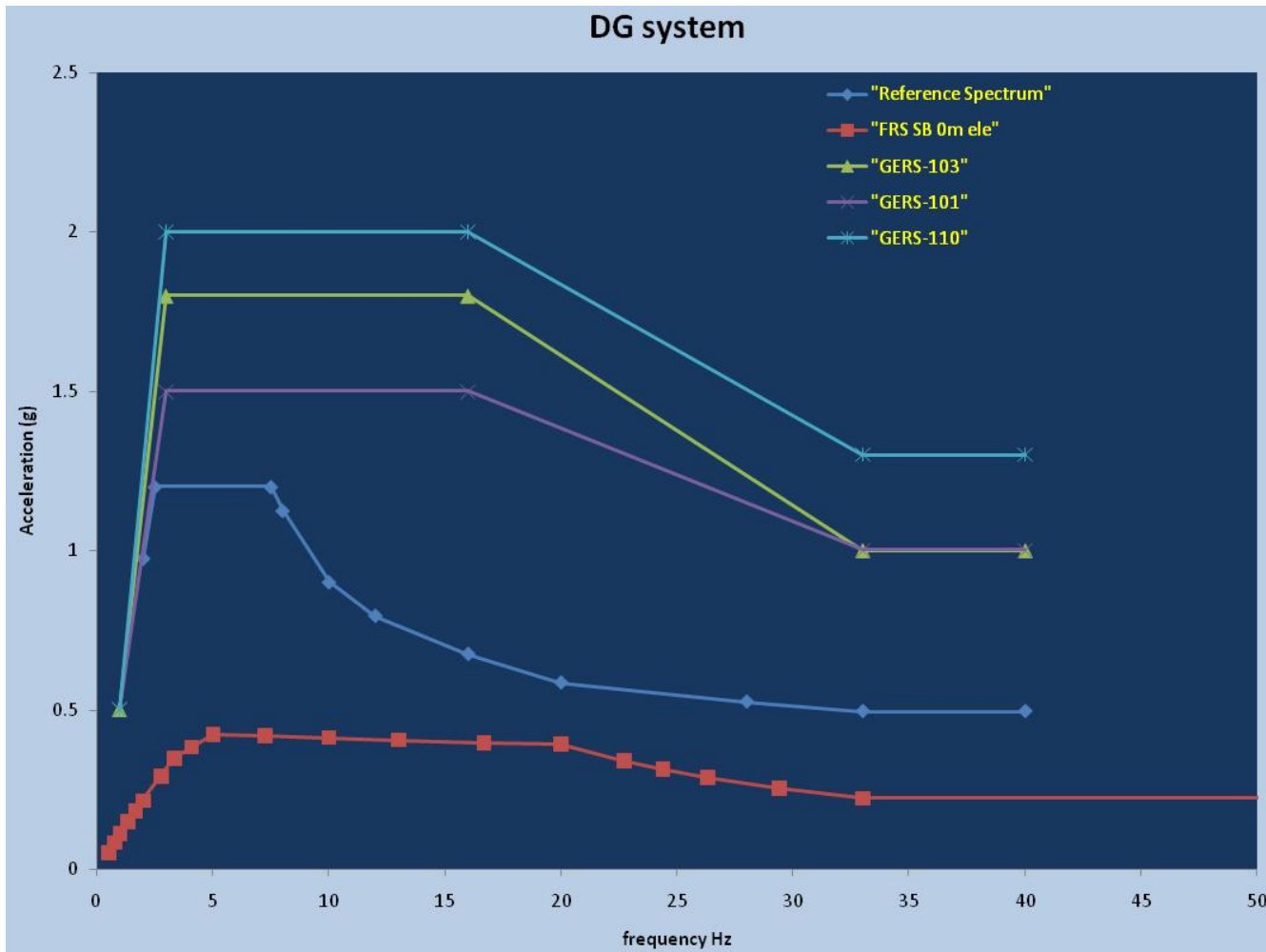
$$\tau = \frac{SCS}{SDS}$$

- SCS: Seismic capacity spectrum,
 - Reference spectrum or GERS
- SDS: Seismic demand spectrum
 - Floor response spectrum

<i>Spectrum used to define capacity</i>	F_2	β_c
Reference spectrum	2.35	0.30-0.60
GERS (Non-relay)	1.49	0.25
GERS (relay)	1.07	0.20

Seismic fragility of component/element by EBM

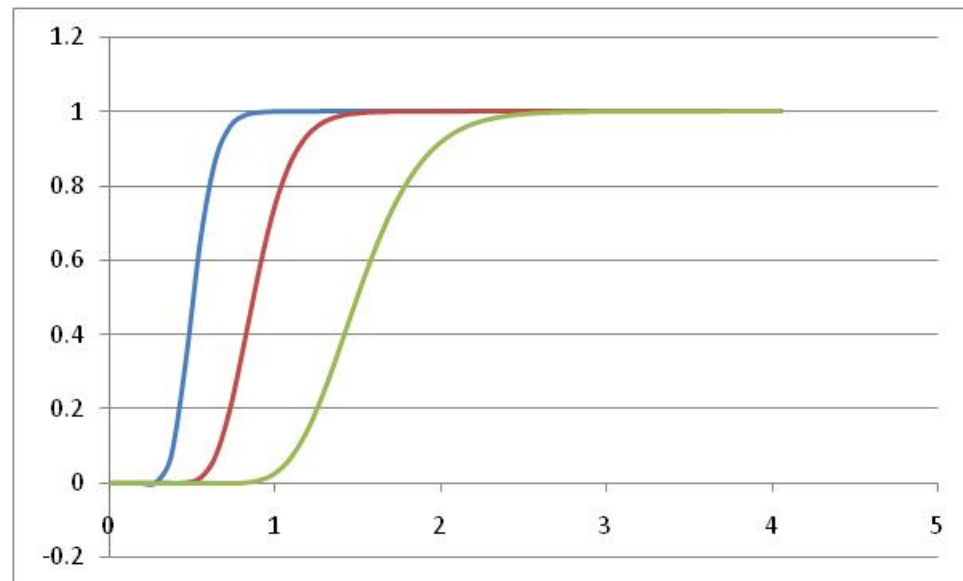
Equip class & description	Demand	Capacity
E8 – I & C panels	FRS – SB 0m el.	RS
E3 – LVSG	FRS – SB 0m el.	GERS 103
E2 – MCC	FRS – SB, TB 0m el	GERS 101
E7 – Battery chargers	FRS – SB 0m el	GERS 110
M13 – Diesel transfer pump	FRS – SB 0m el	RS
M17 – Diesel generator	FRS – SB 0m el	RS



Seismic fragility of component/element by EBM

Component	SCS	SDS	F_1	F_2	F_3	F	β_R	β_U
Diesel Generator	0.5g	0.21g	2.38	2.35	1.28	7.16	0.41	0.46

A_m	1.5g
β_r	0.41
β_u	0.46



Concluding remarks.

- Seismic fragility analysis is an efficient method for ensuring over all seismic safety of an nuclear power plant
- It is a hybrid method with well mix of theory of reliability and experienced gain from the performance of components during actual earthquake.
- The methodology is applicable to any complex engineering industries.

Thank
you